



Ecosystem-based Adaptation (EbA) Facility Second Call for Proposals

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The [Caribbean Biodiversity Fund](#) (CBF), through its [Ecosystem-based Adaptation Facility](#) (EbA Facility), is seeking applications for projects that utilize Ecosystem-based Adaptation approaches to assist in climate change adaptation efforts within the marine and coastal zones of the insular Caribbean.

The EbA Facility resources are composed of a 45 million EURO contribution from the International Climate Initiative (IKI) of the German Federal Ministry for the Environment, Nature Conservation, and Nuclear Safety through KfW, the German Development Bank.

Who can apply:

The EbA Facility is accessible to Overseas Development Assistance (ODA) qualified countries in the insular Caribbean, as determined by the Organization for Economic Cooperation and Development - Development Assistance Committee (OECD DAC). These countries are Antigua and Barbuda, Cuba, Dominica, Dominican Republic, Grenada, Haiti, Jamaica, Saint Lucia, and St. Vincent and the Grenadines. In exceptional circumstances, additional Caribbean countries may receive support through their participation in multi-country projects. Multi-country projects are projects involving activities in more than one country, and the majority of countries (at least three-quarters) must be on the list of eligible countries referenced above. These multi-country projects could benefit the following additional insular countries: The Bahamas, Barbados, St. Kitts and Nevis, and Trinidad and Tobago.

Applications will be accepted from eligible local, national, regional or international¹ non-government organizations (NGOs), registered national conservation trust funds (NCTFs), community-based organizations (CBOs), government agencies, regional organizations, private sector companies, universities and other academic institutions, and consortia of organizations. For

¹ Organizations from outside the Caribbean applying for funding should have EbA experience/expertise, as well as one or more local partners. It is expected that international organizations applying for grants will incorporate local knowledge transfer and capacity building activities in their projects.

projects that include Cuba, project proponents must be US based organization². United Nations agencies are not eligible to apply for the current EbA Facility funding.

Types of projects to be supported:

Successful projects need to show a clear EbA focus, i.e., the use of natural systems and ecosystem services to help people to adapt to the adverse effects of climate change.

Projects can include restoration and rehabilitation of ecosystems, management of protected areas, reduction of land-based stressors, measures to reduce physical damage to ecosystems, measures to reduce pressures on ecosystems, installation of artificial reefs, and hybrid solutions (grey-green infrastructure) relevant to the EbA approach. Actions exclusively focused on protected areas management will not be supported by the EbA Facility, as the CBF manages another financial instrument, the CBF Endowment, focused in protected area management. However, protected areas management support can be considered in protected areas that are relevant to ecosystem-based adaptation. The grants shall be focused on helping people adapt to adverse effects of climate change, reduce disaster risk, and build resilient ecosystems and economies. In addition, grants may focus on the use of biodiversity and ecosystem services and on developing and replicating successful models.

Projects to be supported should contribute to the realization of the 2 strategic objectives of the EbA Facility, which are:

Strategic Objective 1: To sustainably manage EbA supporting marine and coastal zone habitats, incorporating social and economic resilience to climate change.

Projects to be supported which will contribute to the realization of this strategic objective will include:

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Improved management of habitats to reduce climate risks:

This intervention category covers a range of management activities that directly protect habitats in order to reduce climate risks. However, the activities undertaken will not engage those activities intended to be addressed under the Endowment. Illustrative activities include:

- Management programs to mitigate the major threats to protected areas or marine managed areas that reduce climate risks and provide other ecosystem services.
- Alternative and sustainable livelihood programs explicitly designed to strengthen protected areas or marine managed areas that reduce climate risks and provide other ecosystem services.

Community engagement to reduce climate risks:

² Applications that include Cuba will only be accepted from US based institutions that have experience in implementing projects and other activities in compliance with US Government regulations concerning Cuba. Applicants must include documentation that demonstrates such experience as well as any additional evidence that provides information on internal systems in place to ensure compliance.

This intervention category is to be engaged in tandem with the interventions identified in the section above. It covers a range of activities linked to community engagement in reducing climate risks. Activities could be designed to create greater understanding, capacity and participation among the people most affected by climate change, and that most depend on ecosystem services provided by natural habitats. Illustrative activities include:

- Promote diversified, supplemental and sustainable livelihoods that can simultaneously reduce social vulnerability and reduce pressures on EbA-supporting marine and coastal resources.
- Promote sustainable agriculture as a means of relieving the pressure on marine and coastal zone ecosystems as well as providing for enhanced food security.
- Raise awareness of local communities and local decision-makers regarding EbA and climate risk reduction.
- Strengthen the participation and capacity of communities to implement EbA activities such as those outlined above.

Testing/developing new EbA models:

Across the above intervention categories, the EbA Facility could support the testing and development of new EbA models that could be scaled up, including new approaches, techniques and technologies. Illustrative examples include:

- Ridge to reef (R2R) approach: This approach emphasizes the connectivity of adjacent ecosystems, from upland forests to coastal areas, and ensures a wholistic, systems view of the effects of connected ecosystems on a particular coastal population.
- Community-based adaptation (CbA) approach: This approach emphasizes community participation and leadership in EbA projects—covering community priorities, needs, knowledge and capacities—to empower coastal communities to plan and cope with the impacts of climate change.
- Economic models for quantifying benefits to communities through EbA projects.

Strategic Objective 2: To rehabilitate and restore EbA-supporting marine and coastal zone habitats incorporating social and economic resilience to climate change.

Projects to be supported which will contribute to the realization of this strategic objective will include:

Restoration and rehabilitation of habitats to reduce climate risks:

This intervention category covers a range of activities that directly restore or rehabilitate habitats in order to reduce climate risks. Restoration activities could include conventional (well-established) natural habitat restoration approaches, new types of natural habitat restoration approaches, and hybrid solutions that combine build infrastructure with natural habitats. Hybrid solutions, also known as green-grey solutions, often provide cost effective, long-term climate resilience to vulnerable communities and infrastructure. Illustrative activities include:

- Restore coral reefs through coral nursery and out-planting operations that reduce climate risks and provide other ecosystem services. This could include new technologies and approaches for scaling up coral restoration.
- Restore mangroves through nursery and out-planting operations that reduce climate risks and provide other ecosystem services.
- Restore sea-grass beds through out-planting operations that reduce climate risks and provide other ecosystem functions.
- Reduce land-based sources of pollution that have contributed to degradation of marine and coastal zone ecosystems and which affect their resilience to climate change.
- Restore upland forests and riparian areas (linked to climate risk reduction for coastal zones) through reforestation and sustainable forest management operations that reduce climate risks and provide other ecosystem services.
- Implement “hybrid” restoration solutions (green-grey approaches) which integrate traditional “grey” engineering structures, such as sea walls or coastal armoring, and “green” infrastructure such as conservation and restoration of mangroves, coral reefs, seagrass and coastal wetlands. Activities may include new and innovative approaches that reduce climate risks and provide other ecosystem services.

Community engagement to reduce climate risks:

This intervention category covers activities on community engagement in reducing climate risks and building adaptive capacities associated with the restoration and rehabilitation activities identified in the previous section. Activities could be designed to create greater understanding, capacity and participation among the people most affected by climate change, and that most depend on ecosystem services provided by natural habitats. Illustrative activities include:

- Raise awareness of local communities and local decision-makers regarding EbA and climate risk reduction.
- Strengthen the participation and capacity of communities to implement EbA activities such as those outlined in the previous section.

Testing/developing new EbA models:

Illustrative examples are:

- New approaches to coral restoration: This could include the deployment of technologies that have been developed in recent years, designed to scale up restoration efforts (e.g. micro-fragmentation, enhancement of natural coral reproduction, and the use of new types of remote sensing technologies to monitor and map coral reefs).
- Community-based adaptation (CbA) approach: This approach emphasizes community participation and leadership in EbA projects—covering community priorities, needs, knowledge and capacities—to empower coastal communities to plan and cope with the impacts of climate change.

Projects size:

Around ten to thirteen million USD could be allocated under this second Call for Proposals, depending on the number and quality of proposals. Project application may range from 250,000 USD to 2,000,000 USD. The project budget should cover all necessary direct costs to implement

the project, including obtaining any required permits or licenses, and signage at the project site(s). Indirect costs should be kept to a minimum.

Two tiers of funding will be supported.

Tier 1: Large grants. Large grants would be in the range of US \$1M to US \$2M, with some exceptions for larger grants involving multi-country/regional projects. These grants could cover projects that target broad geographic scales and larger-scale impacts (e.g. regional, national and seascape-scale projects). Grantees could be regional and national-level entities (government and non-governmental) with a track record of managing grants of this size in the last three years.

Tier 2: Medium-sized grants. Medium-sized grants would be in the range of US \$250,000 to US \$1M. These grants could cover projects that target smaller geographic scales and impacts (e.g. seascape-scale and site-scale projects) but could serve as models for scaling up approaches and activities. Grantees could be primarily national and local-level entities (government, civil society), with a track record of managing grants of this size in the last three years.

Project duration:

The projects may be implemented in a maximum period of 36 months.

How to apply:

A two-step application process will be followed: (i) the submission of Concept Notes, and (ii) applicants with selected Concept Notes will be invited to submit full proposals.

Applicant organizations must complete the [Concept Note template](#) downloadable at the CBF Website. It is recommended that the Concept Note template is followed and completed fully. The Concept Note template has instructions on what is expected from the projects and indicates important references, such as the [EbA Facility Logical Framework](#).

Concept Notes will be accepted in English, Spanish and French. Full proposals after the concept selection phase will only be accepted in English. Funding can be made available to applicants selected to submit full proposals, to cover the cost of translation to English, as part of a project preparation grant mentioned below.

The applicants must submit Concept Notes and other required attachments to the EbA Facility, through all the following emails: secretariat@caribbeanbiodiversityfund.org, jsingh@caribbeanbiodiversityfund.org, and karim.ould-chih@kfw.de.

Deadline for applications is: April 30, 2020 at 23:59 UTC-5

Applicant requirements:

Eligible recipients must have sufficient capacity to allow for professional and timely implementation of proposed projects and, as part of the full proposal package, eligible recipients may be asked to provide certain information including, but not limited to:

- Basis for legal establishment or recognition
- Governance structure, including names of governing body members, officers and key personnel
- Description of up to three recent relevant programs/projects/activities
- Publications
- Annual budget (last completed year, current year)
- Sources of revenues
- Audited financial statements for most recently completed fiscal year
- Administration, accounting and control procedures
- Current auditing arrangements or equivalent (tax documents)
- Procurement practices for purchasing goods, works and services
- Environmental and social safeguard policy

Selection process:

A two-step application process will be followed. Concept notes will be evaluated and selected according to the aspects required in the Concept Notes template. Applicants with selected Concept Notes will be invited to submit a full proposal. The scoring of concepts will be guided by the [Evaluation Scoring Sheet for Concept Note](#).

Full Proposal templates will be provided to applicants of selected Concept Notes, as well as a possible preparation grant for a maximum of 10,000 USD. Selected Full Proposals will be awarded an EbA Facility project grant and applicants will sign a grant agreement with the CBF.

Timelines:

The timeline for the complete selection process is estimated to follow the following timelines³:

Selection process phases	Timeline
Submission of Concept Notes	April 30, 2020
Decision on selection of Concept Notes	July 31, 2020
Submission of Full Proposals	October 15, 2020.
Decision on selection of Full Proposals to be awarded	December 15, 2020
Grant agreement signature	January 31, 2021.

³ Dates are approximate and subject to revision.

Questions & Answers:

Applicants may submit questions to the EbA Facility up to March 31, 2020 for this Call for Proposals. Questions must be submitted only through the following emails: secretariat@caribbeanbiodiversityfund.org, jsingh@caribbeanbiodiversityfund.org, and karim.ould-chih@kfw.de. Questions received and the answers provided will be published at the CBF website at www.caribbeanbiodiversityfund.org