







based on a decision of the German Bundestag

5th Call for Proposals (5CfP) - Clarification Webinar

PROCESS, FOCUS, LESSONS LEARNED AND COMMON PITFALLS OF PREVIOUS CALLS

ULRIKE KRAUSS, Program Manager – Climate Change HAYDEN BILLINGY & KURT PROSPERE, Technical Officers – EbA Facility



Agenda



AST Time	Activity		
10.00	FhA toan		

10:00 EbA team introduction

10:10 5CfP key facts

10:30 DOs, DONTs & Resources

10:45 Questions and Answers on 5CfP Process

11:00 Lessons Learned from Completed

1&2 CfP Projects

11:15 General Questions and Answers

Followed by a closing Poll



Photo: ©WCS / Aliesky Del Rio Leal

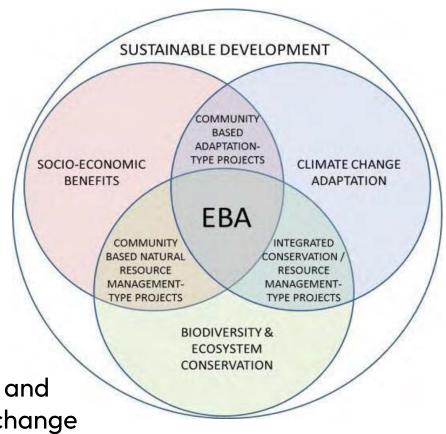
CBF Ecosystem-based Adaptation (EbA) Facility

The EbA Facility

- ☐ Is a
 - ✓ project of the Caribbean Biodiversity Fund
 - ✓ co-financed by the International Climate Initiative (IKI)
 of the German Federal Ministry for the Environment,
 Nature Conservation, Nuclear Safety and
 Consumer Protection through KfW

☐ Objective:

✓ to sustainably manage EbA supporting marine and coastal zone habitats, including rehabilitating key high biodiversity ecosystems and incorporating social and economic resilience to climate change











Ecosystem-based Adaptation (EbA)

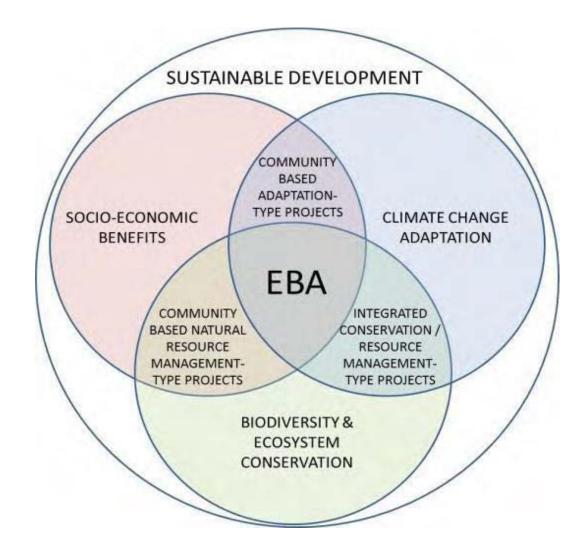
The EbA Focus

- ☐ 3 pillars
- □ EbA is a major approach in the Nature-based Solutions (NbS) tool box

Ecosystem-based Adaptation (EbA) is

"the use of biodiversity and ecosystem services as part of an overall adaptation strategy to help people to adapt to the adverse effects of climate change"

(CBD 2009)





Country Eligibility

- □ Caribbean islands states and territories on OECD DAC List of Overseas Development Assistance (ODA) recipients
 - ✓ This includes regional and multinational projects
- Cuba
 - ✓ Europe- or US-based institutions that have experience in implementing projects and other activities in compliance with US Government regulations concerning Cuba
 - ✓ Q: what about Canadian organizations?A: yes, these are also possible



Cuba



Dominica



Dominican Republic







Haiti



Jamaica



Montserrat



St. Lucia





Agency Eligibility



- Wide range of agencies
 - ✓ Local, national, regional or international non-governmental organizations (NGOs)
 - ✓ Registered national conservation trust funds (NCTFs)
 - ✓ Community-based organizations (CBOs)
 - ✓ Regional organizations
 - ✓ Private sector companies
 - ✓ Universities and other academic institutions
 - ✓ Consortia of organizations with eligible lead
- ☐ Government and UN agencies are no longer eligible
- Reapplication of previous or existing grantees allowed
- Must be able to operate project-exclusive bank account



Photo: The Ocean Foundation

Process Overview

- ☐ Two-stage process
 - ✓ Open call for Concept Notes (CNs)
 - ✓ Competitive selection
 - ✓ Full proposals by invitation only
 - ✓ Project preparation grants available
- ☐ Important dates
 - ✓ Questions may be submitted by email up to **5 Nov 2024**
 - ✓ CN submission deadline: 17 Dec 2024
- ☐ Early action
 - ✓ Study CfP focus and application instructions
 - ✓ Refresh understanding of EbA with available resources
 - ✓ Elaborate complementary partnerships
 - ✓ Collate necessary documents
 - ✓ Identify baseline studies and predecessor projects



5CfP Selection Process



Key Facts



- ☐ Convincing EbA focus
- ☐ Coastal and marine ecosystems
 - ✓ Ridge-to-Reef (R2R) projects are welcome
- ☐ Maximum duration: 48 months
- ☐ Grant size:
 - ✓ USD 400,000 to 3,000,000
 - ✓ Co-finance is evaluation criterion
 - ✓ At least 50:50 matching is required by private sector applicants
- ☐ Foreseen total volume
 - ✓ 6-10 projects, totaling *ca* USD 15 million



Types of Projects



- ☐ Must contribute to the EbA Facility's strategic objective:

 To sustainably manage EbA-supporting marine and coastal zone habitats,
 including rehabilitating key high biodiversity ecosystems and incorporating
 social and economic resilience to climate change
- ☐ Improved management, restoration and rehabilitation of habitats to reduce climate risks
- ☐ Community engagement to reduce climate risks
- ☐ Adaptation benefits to communities:
 - ✓ Resilient, sustainable livelihoods
- ☐ Testing/developing new EbA models
- ☐ Follow-up projects are allowed for grantees who already implemented one or more CBF project(s)



Types of Projects

MARCH 2022





Formal Requirements



- ☐ Concept Note
 - √ Max 7 pages
 - ✓ Unmodified template
 - ✓ Budget outlined
 - ✓ English, Spanish and French accepted
- Declaration of applicant organization
 - ✓ Official letterhead
 - ✓ Signature, name, position, place, date
 - ✓ Text provided in CN template:



PLANTILLA DE NOTA CONCEPTUAL

La nota conceptual (NC) es el primer paso del proceso de solicitud. De ser aprobada una NC, se pedirá a los proponentes que preparen una propuesta detallada de proyecto para una evaluación adicional. Complete FODAS las secciones de este formulario y adjunte los documentos requeridos. Recuerde seguir la orientación y la estrategia del Fondo AbE (Adaptación Basada en Ecosistemas) descrita en la convocatoria de propuestas y compare la Nota Conceptual completada con la hoja de puntuación de evaluación

Le invitamos a presentar documentos de respaldo adicionales, tales como mapas o cartas de apoyo comunitario, como archivos adjuntos a la presentación de la NC. Comuniquese con el personal del Fondo ABE (consulte la convocatoria de propuestas para obtener detalles de contacto) si tiene alguna pregunta sobre el concepto de su proyecto o sobre cómo completar este formulario de NC. Envie sus preguntas antes del 5 de noviembre de 2024.

Asegúrese de que la Nota Conceptual no exceda siete (7) páginas. No se especifica un limite de palabras, pero debe utilizarse una fuente Times New Roman de tamaño 12 en todo el documento. Deben mantenerse los márgenes del documento y la integridad de la plantilla. Podrá eliminar todos los textos de instrucciones que estén en letra itálica.

Deben presentarse los siguientes documentos con la Nota Conceptual:

- Declaración firmada por la organización solicitante en papel timbrado, que incluya lo siguiente:
 - "Yo, el abajo firmante, persona responsable en la organización del solicitante por el proyecto propuesto, certifico por la presente que la información proporcionada es verdadera y correcta al momento de la presentación", con firma, nombre, cargo, lugar y fecha.
- 2. Certificado de inscripción de la organización proponente
- 3. Estados financieros auditados preparados y firmados por un auditor externo independiente correspondientes a dos ejercicios recientes (los más recientes que se encuentren disponibles). Los Ministerios de Gobiernos están exentos de este requisito cuando no se producen estados financieros auditados por separado.

Envie las notas conceptuales y otros archivos adjuntos requeridos a través del portal de solicitud de notas conceptuales en el portal del sitio web del CBF. Envie las Notas Conceptuales y los documentos de apoyo antes del 17 de diciembre de 2024, a las 23:59 UTC-5.



Formal Requirements



- ☐ Certificate of Registration
 - ✓ Indicates type of organization
 - ✓ Shows country and year of establishment
- Audited statements
 - ✓ Two recent years
 - ✓ Independent, external auditor
 - ✓ Final (signed & stamped) version
 - ✓ Audits within annual report are acceptable
- ☐ Optional: Appendices
 - ✓ Maps are highly desirable
 - ✓ Predecessor project reports
 - ✓ Support letters
 - ✓ Keep it simple and succinct (max 4MB)





Other Requirements



- ☐ Experience in EbA
- ☐ Include established EbA Facility indicators (see logframe)
- ☐ Environmental and Social Risks Management
 - ✓ Projects yield significant environmental and social net benefits to the region
 - ✓ Aligned with CBF Environmental and Social Policy and Exclusion List
 - ✓ Applicant has proven ability to manage environmental and social risks
 - ✓ Considerations for gender issues and vulnerable groups
- ☐ Caribbean entity and/or expertise
 - ✓ Local partner(s)
 - ✓ Local capacity-building



CBF ENVIRONMENTAL AND SOCIAL POLICY AND EXCLUSION LIST

9 December 2021 Approved Version



Finances



- ☐ Ability to open dedicated project bank account
 - √ No exceptions under 5th CfP
 - ✓ If your organization does not normally do this, explore possible mechanisms now
 - ✓ Re-purposing and existing exclusive account is acceptable
- ☐ Grant size
 - ✓ USD 400,000 to 3 million
- □ Two financing tiers
 - ✓ Medium: USD 400,000 to 1 million May address specific gaps
 - ✓ Large: USD 1 3 million, 36-48 months
 - ✓ Applicant has track record of managing projects that size



Finances



- ☐ Direct costs cover all project activities, including
 - ✓ Travel, training and participation in events
 - ✓ Permits
 - ✓ Signage, publications and advertising
 - ✓ Monitoring, Evaluation and Learning (MEL), incl. support to progress review missions
 - ✓ Audits
- Keep indirect costs to minimum
- Cofinance
 - ✓ Evaluation criterion for all
 - ✓ Private sector companies must commit at least matching finance:
 50% CBF: 50% applicant



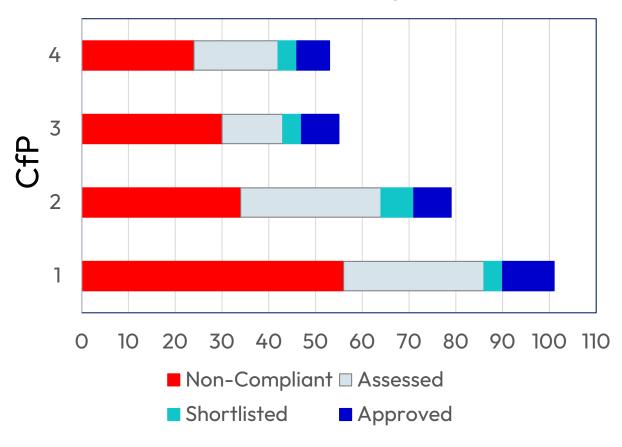






- □ Start preparing the Concept Note well before the submission deadline for an opportunity to:
 - ✓ Consult partners and stakeholders
 - Read and cite baseline studies and relevant reports
 - ✓ Proof-read CN and cross-check budget
 - ✓ Submit with a time buffer before the deadline
- ☐ Read and follow the instructions
 - ✓ Half of all CN submissions failed the compliance check!
 - ✓ Ensure all obligatory appendices are uploaded







LESSONS LEARNT



Applicant Organization

Pay attention to the evaluation criteria

Project Approach





EVALUATION SCORING SHEET FOR CONCEPT NOTE

The evaluation scoring sheet for Concept Notes (CN) should be completed for all notes received. All notes received will be given a control number by the EbA Facility Program Manager. CN that have a "No" as an answer in the Compliance section, should not proceed to the rest of the evaluation. In this case, the scoring sheet will be registered together with the proposal in CBF files. The Applicant Organization and Project Approach sections should be completed individually by more than one person and the proposal should have a final score composed by the arithmetic average of the individual scores received. The maximum score is 90 points, 60 points being for the Project Approach and 30 points for Applicant Organization.

Project Title:	
Project number: (given by the EbA Facility)	

Compliance All answers must be Yes for the CN to proceed to be evaluated. To be answered by the EbA Facility		
Is the CN form complete?	Yes	No
Are the required annexes attached?	Yes	No
Is the applicant eligible?	Yes	No
Is the geographic area eligible?	Yes	No
Is the project related to one or more EbA Facility defined objectives?	Yes	No
Is the proposed project (or any of its activities) in the Exclusion List?	Yes	No

To be answered by the EbA Facility	C	C
Evaluation aspects	Score	Comments (if any)
Does the project present a clear, coherent and technically		
feasible logic of intervention to reduce the climate risk		
identified through EbA interventions and/or hybrid solutions?		
Does the project support national or regional policies and		
strategies?		
Does the project connect on-the-ground with higher-level		
activities (e.g. policy action, business practice changes,		
sustainable finance mechanisms) to foster structural and		
sustainable change?		
Does the project continue, replicate or scale-up an already		
tested approach that was successful or clearly shows		
synergies with other activities in the area?		
Does the project clearly define climate adaptation benefits		
that it will achieve through EbA interventions/hybrid		
solutions?		
Does the project benefit vulnerable groups (e.g. traditional		
peoples, women, youth) or focus on gender and youth		
perspectives?		
Does the project create new capacities for the CSOs in the region, which will contribute to its sustainability in the long		
term?		
Does the project include the needed stakeholders or partners	-	
(including political support) for its implementation to be		
successful?		
Does the project incorporate indigenous and local		
knowledge to comply with the principles of free, prior, and		
informed consent to ensure effective and locally appropriate		
adaptation?		
Does the project leverage other financial and technical		
resources?		
Does the project estimated budget have a coherent relation		
with the project activities?		
Does the project minimize tradeoffs and maximize benefits		
with development and conservation?		

Applicant Organization		
Score each aspect from 1 to 5, being: 1 – no or not clear, 2 –	unsatis	factorily, 3 – partially, 4
– satisfactorily, 5 – fully		
To be answered by the EbA Facility		
Evaluation aspects	Score	Comments (if any)
Does the applicant organization have experience in		
implementing projects in the geographic area?		
Does the applicant organization have experience with the		
EbA intervention proposed?		
Does the applicant organization have a track record in		
managing projects with budgets similar to the amount		
requested?		
Does the applicant have a system to manage environmental,		
social, and climate change-related risks		
Is the applicant a Caribbean-based organization, meaning it		
is registered in a Caribbean country and works in the		
Caribbean?		
Does the applicant have a track record of building capacity		
among Caribbean beneficiary organizations and communities		
	1	





☐ Cross-check against accepted standards

- ✓ 3 essential elements
- ✓ 5 criteria

Element A: Helps people adapt to climate change



Criterion 1:
Reduces social
& environmental
vulnerabilities



Criterion 2: Generates societal benefits **Element B:** EbA makes active use of biodiversity and ecosystem services



Criterion 3: Restores, maintains or improves ecosystem health **Element C:** EbA is part of an overall adaptation strategy



Criterion 4: Is supported by policies at multiple levels



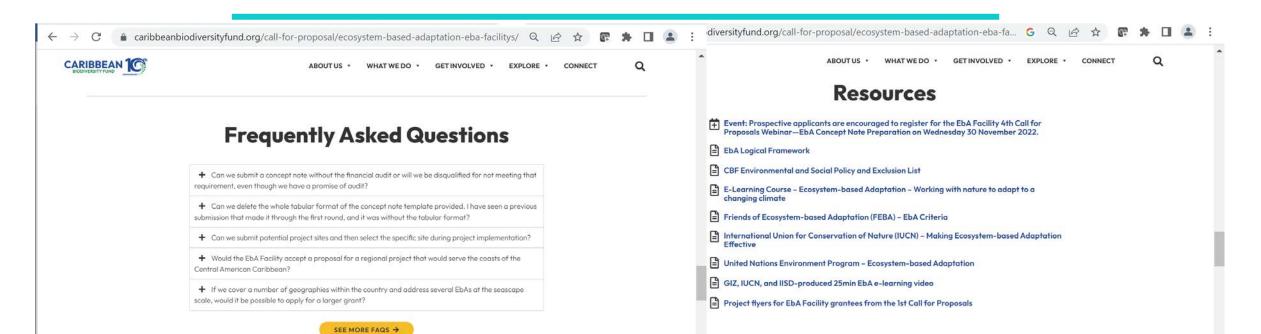
Criterion 5: Supports equitable governance and enhances capacities





LESSONS LEARNT

- Consortia partners (optional)
 - ✓ Contribute complementary expertise
 - ✓ Should be thoughtfully selected
 - ✓ Should be involved from CN development
 - ✓ Will have to sign declaration at the Full Proposal stage
- ☐ Use the resources provided



Resources

E-Learning

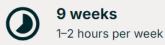
SDGacademy

SDGAcademyX: Ecosystembased Adaptation: Working with nature to adapt to a changing climate

Now available in French & Spanish!

The course will equip learners with transferable and replicable skills in designing and implementing Ecosystem-based Adaptation (EbA) initiatives by offering targeted training on key principles, risk assessments, monitoring, and governance.







Self-paced

Progress at your own speed



Free

Access to course at no cost



Resources

Ecosystem-based Adaptation Briefing Note Series

Toolkits & Resources

- · A Decade of Ecosystem-based Adaptation: Lessons from UNEP link
- · Adopting a Human Rights-based Approach to Ecosystem-based Adaptation link
- Harnessing Nature to Build Climate Resilience: Scaling Up the Use of Ecosystem-based Adaptation link
- Ecosystem-based Adaptation Stakeholder Dialogue Report link
- · Seven Lessons on Using Ecosystem Restoration for Climate Change Adaptation link
- Ecosystem-based Adaptation Planning: ALivE Adaptation, Livelihoods and Ecosystems link
- Handbook: A Handbook for Ecosystem-based Adaptation in Mountain, Dryland, and Coastal Ecosystems - link
- Massive Open Online Course: Nature-based Solutions for Disaster and Climate Resilience link
- Course: Finance and Climate Action in Cities: Nature-based Solutions as an Adaptation Mechanism in Latin America and the Caribbean – link (Spanish)
- Reference Guide: Research on Ecosystem-based Adaptation (EbA) link
- · Options for Ecosystem-based Adaptation in Coastal Environments link
- · Resource Guide: Integrating Ecosystem-based Adaptation in Education Curriculum link
- · Panorama EbA Solutions Portal link
- · Nature-based solutions to climate change adaptation link
- · Guidelines on Mangrove Restoration for the Western Indian Ocean Region link
- Blue Nature-based Solutions in Nationally Determined Contributions: A Booklet for Successful Implementation - link
- Ecosystem-based Adaptation Technical Guidelines in Albania link
- Guidance on Integrating Ecosystem Considerations in Climate Change Vulnerability and Impact Assessments – link
- Guidebook for Monitoring & Evaluating EbA Interventions link
- Making Ecosystem-based Adaptation Effective: a Framework for Defining Qualification Criteria and Quality Standards – link

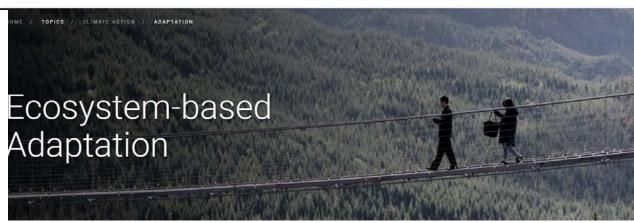


Who we are V Where we work V

What we do >

Publications & data





Case Studies & Lessons Learned

- Financing Ecosystem-based Adaptation in Cities: A Case Study from Mexico link
- Gender-responsive Ecosystem-based Adaptation: A Case Study from El Salvador link
- A Watershed Approach in Ecosystem-based Adaptation: A Case Study from Latin America and the Caribbean - link
- Restoring Climate-resilient Mangroves and Communities: A Case Study from Djibouti link
- · People-centric Ecosystem-based Adaptation: A Case Study from Sudan link
- Lessons Learned: Ecosystem-based Adaptation and an Integrated Resilient Rice Model in Madagascar - link
- Lessons Learned: Climate Adaptation in Tanzania with Ecosystem Restoration & Flood Defence Infrastructure - link
- Good Practice Brief: South-South Cooperation for Upscaling Nature Based Solutions for Climate Adaptation - link
- Forestry in the Gambia: A Climate Adaptation Case Study link
- Mountain Resilience: Torrent Catchment Restoration in the Pamir Mountains of Afghanistan link

Resources



CBF EBA FACILITY LOGFRAME

Summary of indicators

19 indicators to measure the EbA Facility's objective to sustainably manage EbA supporting marine and coastal zone habitats, including rehabilitating key high biodiversity ecosystems and incorporating social and economic resilience to climate change. CBF EBA grantees report only on the three IKI indicators and eight custom indicators developed to measure additional ecological and social dimensions of EbA Facility interventions under Outcomes 1.1 and 1.2, as applicable.



- Number of people directly supported by the project to adapt to climate change or to conserve ecosystems (Action People AP)
- Area of ecosystems improved or protected by project measures (Action Ecosystems AE)
- o Greenhouse gas emissions reduced or carbon stocks enhanced in project/program area (Action Mitigation AM)
- 8 custom indicators to measure operational efficiency for the EbA Facility and the grant disbursement procedures.
- 8 custom indicators developed to measure additional ecological and social dimensions of EbA Facility interventions
 - Number and hectares of protected areas in the terrestrial, marine and/or coastal zone, relevant to climate change adaptation, better managed (EbA 1-1)
 - Area of adaptation-relevant ecosystems (especially coral reefs, mangroves and seagrass meadows) that have been rehabilitated (by the project) (EbA 1-2)
 - Area (hectares) of marine and coastal habitats protected through improved management for their EbA benefits to coastal communities and infrastructure (EbA 1-3)
 - Number of threats to terrestrial, marine and coastal ecosystems addressed by EbA Facility interventions (EbA 1-4)
 - Number of persons rating the quality of trainings at a 3 or more on a 5-point scale (EbA 1-5)
 - Number of persons stating that thy have been using skills learned in trainings 3-6 months post participating in trainings (EbA 1-6)
 - Number of persons confirming the benefit of EbA Facility funded interventions for enhanced livelihoods opportunities (EbA 1-7)
 - Number of hybrid restoration projects completed by 2026 (EbA 1-8)



Ecosystem-based Adaptation (EbA) Facility

> Logical Framework for 5th Call for Proposals

> > October 2024

The DON'Ts

LESSONS LEARNT

Please do not ...

- Submit recycled unsuccessful proposals
- ☐ Submit a full proposal or CNs of more than 7 pages
- ☐ Modify the templates we provided
 - √ Font size
 - ✓ Margins
- Submit incomplete audits
 - ✓ In draft form
 - ✓ Missing signature or stamp
 - ✓ Prepared by in-house accountant
- ☐ Call CBF staff with CfP-related questions



How to Apply

A two-step application process will be followed:

 STEP 1: The submission of C 	oncept Notes
is recommended that the Concept Note Template has instructions on what is expe	pplete the Concept Note Template (English, French, Spanish). It Template is followed and completed fully. The Concept Note ected from the projects and includes important references, such and CBF Environmental and Social Policy and Exclusion List, h, Spanish and French.
Proposals. Questions must be submitted	EbA Facility up to Tuesday, November 5, 2024 for this Call for donly to the following emails: .org: programmanager_eba@carlibbeanblodiversityfund.org
Applicants must ensure that the Conce	pt Note does not exceed seven (7) pages. No word limit is ce 12 must be used throughout the submission and document
Applications can be submitted until Tue	sday, December 17, 2024, at 23:59 UTC-5.
Concept Notes received after this time w receipt of their Concept Note at the time	ill be rejected. Applicants will receive an email acknowledging of submission.
- Fifth Call for Proposals document (- Concept note template (English, Fr - EbA Facility Logical Framework (Er - Concept Note Evaluation (English, - Concept Note Evaluation (English, - Concept Note Evaluation (English,	English, French, Spanish) rench, Spanish) nglish)
First Name *	Last Name *
Email Address *	
Organization *	
Required Documents	
Concept Note (max. file size 10MB I	PDF or DOC) *
Choose File No file chosen	
Declaration of the Applicant (max. f	file size 10MB PDF or DOC) *
Choose File No file chosen	
O the O the Hard of the Other	TOMP I DDE or IDC) *
Business Registration (max. file size	IOMB (FOF OF 3FO)

Financial Audits (max. file size 10MB | PDF or DOC)

Concept Note Appendices (max. file size 4mb | PDF or DOC)

SUBMIT APPLICATION

Other Documents (Optional)

Choose File No file chosen

Questions & Answers

EQUITABILITY AND TRANSPARENCY

call documentation

The CBF Secretariat will answer 5CfP-related questions that are
☐ Asked during this webinar
□ Submitted up to Tuesday 5 November 2024 to the following email addresses:
√ secretariat@caribbeanbiodiversityfund.org
✓ programmanager_eba@caribbeanbiodiversityfund.org
Similar questions may be pooled and rephrased
☐ Questions must be submitted <u>only</u> through these channels
☐ Qs & As will be published on our website
☐ Most questions received are answered in the











☐ COVID 19 Pandemic

- ✓ Planning to allow for adaptive management, should circumstances change
- ✓ Presented opportunities to enhance communication with some stakeholders

☐ Multi country projects

- ✓ Technical specialist required in each country
- ✓ Supervise project requirements closely

☐ Engagements

- ✓ **Private sector engagement:** important for short-term and long-term success
- ✓ Government engagement Inform and engage early Cultivate relationships
- ✓ Bottom-up participatory approaches most successful



Photo: Ulrike Krauss, La Fe, Cuba



- ☐ Importance of addressing the ecosystem degradation drivers in restoration projects first
- ☐ Coral reef ecosystems
 - ✓ Different approaches for different sites and restoration priorities
 - ✓ Reef restoration needs more than 3 years
 - ✓ Proper indicators and experiment design are crucial for assessing restoration success
 - ✓ Focusing on "super coral" (resilient genotypes) propagation
 - ✓ Dual-purpose nurseries: gene banks and stress-test
 - ✓ Community and stakeholder collaboration
 - => Need for securing finance or revenue models to scale up



Photo: The Ocean Foundation

□ Mangroves

- ✓ Mangroves regenerate very well if conditions are suitable
 - => implications for project design and benefits for ecosystem function:
 - **Active vs Passive Restoration**
- Consider people's commonly negative perception of mangrove
- √ Community Ownership
 - => Anthropogenic drivers require broad stakeholder inclusion and participatory planning
 - => Need to measure impact of projects on human wellbeing



- ☐ Mangroves, continued
 - ✓ Monitoring and Evaluation
 - => Solid data needed to address degradation drivers
 - => Need to ensure alignment with national policy, and Multilateral Environmental Agreements (MEA) targets, such as SDGs, NDCs, and biodiversity
 - ✓ Tailor restoration strategy to unique conditions of area
 - ✓ Continuous presence at intervention zone: initial phase critical



☐ Successes and opportunities

- ✓ Early identification of key actors and champions
- ✓ Initiate intervention actions/pilots as soon as possible
- ✓ Early-stage awareness campaigns helped engage stakeholders
- ✓ Building local support groups contributed to capacity development
- ✓ Effective coordination mechanisms facilitated project implementation
- ✓ Diversification strategies supported sustainable initiatives
- ✓ ESMS training and assessment of projects
- ✓ Inception workshop speeds up implementation start-up: Need for Grantees to prepare Annual Work and Procurement Plans





☐ Address local needs beyond EbA

- ✓ Fosters stakeholder engagement
- ✓ Flexibility in project design, based on evolving local dynamics and knowledge gained
- ✓ Adjustments should align with project objectives and be based on sound technical justifications

☐ Complex project setups for EbA

- ✓ Comprehensive project configurations, involving components, partnerships, stakeholders, and interactions at local and national scales.
- ✓ Long-term project goals, monitoring actions, and governance should reflect this complexity to ensure sustainability and demonstrate EbA impacts.



Photo: Ulrike Krauss













